



3738 B/

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PTO/SB/21 (08-00)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application	09/747,817	
	Filing Date	12/22/2000	
	First Named	Rommel C. Lumaig	
	Group Art Unit	3738	
	Examiner Name	B. Pellegrino	
Total Number of Pages in This Submission	16	Attorney Docket Number	ACSC 60133 (2109P)

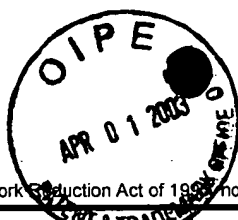
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request for Corrected Filing Receipt Copy: original Filing Receipt Copy: corrected Filing Receipt Copy: Recordation Form Cover Sheet Postcard
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	 24201 PATENT TRADEMARK OFFICE
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP Gunther O. Hanke, Esq., Reg. No. 32,989
Signature	
Date	March 27, 2003

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Signature		Date	March 27, 2003

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$180.00

Complete if Known

Application Number	09/747,817
Filing Date	12/22/2000
First Named Inventor	Rommel C. Lumauig
Examiner Name	B. Pellegrino
Group Art Unit	3738
Attorney Docket No.	ACSC 60133 (2109P)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit
Account
Number

06-2425

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Name

FULWIDER PATTON

The Commissioner is authorized to: (check all that apply)

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	\$0.00

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims		Fee from below		Fee Paid
Total Claims				
16	-20** = 0	X	18.00	= 0.00
Independent Claims	1	-3** = 0	X	84.00 = 0.00
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$180.00


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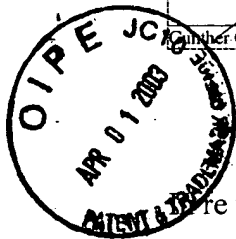
Name (Print/Type)	Gunther O. Hanke	Registration No. (Attorney/Agent)	32,989	Telephone	(310) 824-5555
Signature		Date	March 27, 2003		

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Gunther O. Hanke, Reg. No. 32,989



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APR 10 2003
TECHNOLOGY CENTER R3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the application of

Inventor: Rommel C. Lumaug

Serial No. 09/747,817

Filed: December 22, 2000

For: **CATHETER AND METHOD
FOR MAKING THE SAME**

Examiner: B. Pellegrino

Group Art Unit: 3738

Client ID/Matter No. ACSC 60133
(2109P)

March 27, 2003

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant respectfully requests correction of the Filing Receipt for the above-identified patent application. The Filing Receipt reflects an error in the name of the Assignee which incorrectly reads CARDIOVASCULAR SYSTEMS, INC. Please correct the listing of the Assignee's name on the Filing Receipt to read, --ADVANCED CARDIOVASCULAR SYSTEMS, INC.-- A copy of the original Filing Receipt, corrected Filing Receipt, and Notice of Recordation of Assignment Cover sheet are enclosed.

Respectfully submitted,

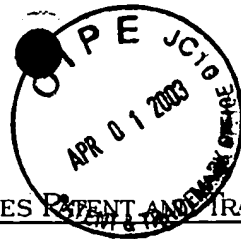
FULWIDER PATTON LEE & UTECHT, LLP

By: 

Gunther O. Hanke
Registration No. 32,989

GOH:PHM:psm

Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, CA 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/747,817 ✓	12/22/2000 /	3731 ✓	746	25141-4870 ✓	4	22 ✓	2 ✓

CONFIRMATION NO. 4301 ✓

 25213
 HELLER EHRMAN WHITE & MCAULIFFE LLP
 275 MIDDLEFIELD ROAD
 MENLO PARK, CA 94025-3506

FILING RECEIPT

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OC000000005925383

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Date Mailed: 04/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

✓ Rommel C. Lumaig, San Jose, CA;

Assignment For Published Patent Application

 ✓ Cardiovascular Systems, Inc.;
 -- Advanced Cardiovascular Systems, Inc. --
 Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/30/2001

Projected Publication Date: 06/27/2002

Non-Publication Request: No

Early Publication Request: No

Title

✓ Catheter and method for making the same

Preliminary Class

606

Data entry by : TADESSE, ETAGEAN

Team : OIPE

Date: 04/02/2001

[REDACTED]

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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- The docket number allows a maximum of 25 characters.
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